

Semistructured Data Search Evaluation

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Outline

- Introduction
- The INEX Initiative
- INEX Adhoc Evaluation
- Other INEX tracks
- Summary



Semistructured Data

- XML as most important instance (but also RDF, relational tables/databases, ...)
- Two types of XML documents:
 - "Documents with structure": document-centric
 - "Structured data with text": data-centric



Example for data-centric: DBLP

<article key="journals/cacm/Gentry10" mdate="2010-04-26"> <author>Craig Gentry</author> <title> Computing arbitrary functions of encrypted data. </title> <pages>97-105</pages> <year>2010</year> <volume>53</volume> <journal>Commun. ACM</journal> <number>3</number> <ee>http://doi.acm.org/10.1145/1666420.1666444</ee> <url>db/journals/cacm/cacm53.html#Gentry10</url> </article>

Rather regular structure across documents not much text per element



Example for document-centric: Wikipedia

<article xmlns:xlink="http://www.w3.org/1999/xlink/">

<header>

<title>Wiki markup</title>

<id>42</id>

<categories> <category>Markup languages</category>
</categories>

</header>

<body>

```
<section><st>Introduction</st>
```

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```
<b>Wiki markup</b> is used in <link
```

xlink:href="../Wi/Wikipedia.xml" xlink:type="simple">

Wikipedia</link>. It allows for a rather rich annotation of texts with structure such as tables and lists, links to other documents, and much more.

</section>

<section>

<st>Language Components</st>

<list>

. . .

<entry>tables</entry>

<entry>lists</entry>

Structure irregular and different across documents

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Semistructured Data Search/Retrieval

- Why is this different from DB-style queries?
 Do not retrieve "all answers", only "best answers"
- Why is this different from document retrieval? Do not retrieve full documents documents, but document fragments (elements) as results; focused retrieval
- Two general querying paradigms:

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- Keywords
- Structured queries + keywords (XPath FullText)

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Example: Document vs. Focused Retrieval

Query: "ticket method"

Transactional information systems: theory, algorithms, and the practice of ... By Gerhard Weikum, Gottfried Vossen



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The INEX Benchmark Initiative

- started in 2002
- focus on documentcentric XML



- large number of participants (>500)
- large number of organizers (100)



(Research) Questions at INEX

- Is focused retrieval better than document retrieval? For which tasks?
- Does document structure help?Are structured queries useful?
- What are good test collections to compare system performance?

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 Most important for participants: Is my system better than the other systems?

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Some Tracks at INEX over the Years

- Adhoc Track
- Multimedia Track
 keyword-based image search in XML docs
- Heterogeneous Track
 search over XML docs with different structure
- Relevance Feedback Track

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- Interactive Track
- XML Mining Track
- Efficiency Track trade off result quality vs. processing time

More on current tracks later

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Ingredients of IR Test Collections

- Collection (documents)
- Task
- Topics
- Assessments, relevant results
- Metrics & tools for evaluation



INEX Document collections

- Structured text documents
- 12,227 SGML/XML Articles from IEEE journals
- Wikipedia articles with simple XML markup
- Wikipedia articles with simple XML markup and semantic annotations



IEEE articles

```
<article>
  < fm >
    <ti>IEEE Transactions on ...</ti>
                                              meta data:
    <atl>Construction of ...</atl>
    <au>
                                              title, journal,
      <fnm>John</fnm>
      <snm>Smith</snm>
                                              author, affiliation
      <aff>University of ...</aff>
    </au>
  </fm>
  <bdy>
    <sec>
                                              full-text content
      <st>...</st>
      <ss1>...</ss1>
      <ss1>...</ss1>
    </sec>
  </bdy>
  <bm>
    <bib>
      <bb>
                                              cited references
        <au>...</au><ti>...</ti>
      </bb>
    </bib>
  </bm>
</article>
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```

Wikipedia with semantic annotations

<article>

Types from WordNet

```
<group confidence="1.0" wordnetid="26729"</pre>
       source="categories">
  <artist confidence="0.75" wordnetid="9187509">
    <header>
      <title>Queen (band)</title>
      <id>42010</id>
                                  Information from Infoboxes
    <Infobox band>
      <band name>Queen</band name>
      <years active>1971 - Present</years active>
      <status>Active</status>
      <country confidence="1.0" wordnetid="8023668">
        <link xlink:href="../Un/United+K$ingdom.xml"</pre>
              xlink:type="simple">
          United Kingdom
        </link>
      </country>
    </Infobox band>
```

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INEX Topics

- Two different types:
 - Content-Only (CO)
 - Content and Structure (CAS)
- Contributed by participants, so diverse in nature



Content-Only (CO) topic

<INEX-Topic topic-id="45" query-type="CO" ct-no="056"> <Title>

<cw>augmented reality and medicine</cw>

</Title>

<Description>

How virtual (or augmented) reality can contribute to improve the medical and surgical practice.

</Description>

<Narrative>

In order to be considered relevant, a document/component must include considerations about applications of computer graphics and especially augmented (or virtual) reality to medicine (including surgery).

</Narrative>

<Keywords>

augmented virtual reality medicine surgery improve computer

assisted aided image

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</Keywords>

</INEX-Topic>

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Structured Topics (CAS): INEX 2002

```
<INEX-Topic topic-id="09" query-type="CAS" ct-no="048">
  <Title>
    <te>article</te>
    <cw>non-monotonic reasoning</cw> <ce>bdy/sec</ce>
    <cw>1999 2000</cw> <ce>hdr//yr</ce>
    <cw>-calendar</cw> <ce>tig/atl</ce>
    <cw>belief revision</cw>
  </Title>
  <Description>
     Retrieve all articles from the years 1999-2000 that deal with
     works on nonmonotonic reasoning. Do not retrieve articles that
     are calendar/call for papers.
  </Description>
  <Narrative>
    Retrieve all articles from the years 1999-2000 that deal with
    works on nonmonotonic reasoning. Do not retrieve articles that
    are calendar/call for papers.
  </Narrative>
  <Keywords>
    non-monotonic reasoning belief revision
  </Keywords>
</INEX-Topic>
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```

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Structured Topics (CAS): INEX 2003

<inex_topic>

<title>

//article[(./fm/yr = '2000' OR ./fm/yr = '1999') AND about(.,

'"intelligent transportation system"')]//sec[about(.,'automation

+vehicle')]

</title>

<description>

Extended version of XPath: about(path,keyword condition)

Automated vehicle applications in articles from 1999 or 2000 about intelligent transportation systems.

</description>

<narrative>

To be relevant, the target component must be from an article on intelligent transportation systems published in 1999 or 2000 and must include a section which discusses automated vehicle applications, proposed or implemented, in an intelligent transportation system.

</narrative>

<keywords>

intelligent transportation system, automated vehicle, automobile, application, driving assistance, speed, autonomous

driving

</keywords>

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</inex topic>

Too complex for IR people: 63% of topics with errors

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Narrowed Extended XPath I (NEXI)

- Restricted axes and composition: only 2 types
 - -//A[B]
 - -//A[B]//C[D]
- tag wildcard *, tag disjunction (sec|p)
- content conditions: about (path, text)
- comparison for numeric values only

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Strict vs. Loose/vague interpretation

- DB vs. IR interpretation of queries
- Strict: exact match of target element (SCAS)
- Vague: vague match of target element (VCAS);
 requested article, but sec is valid result
- IR interpretation of query: path specifications considered hints as to where to look



XYCAS: different CAS interpretations

- V Vague S Strict
- X target element Y support element
- VVCAS: both target and support elements are vague (classic IR view)
- SVCAS: target strict, support elements vague
- VSCAS: target vague, support elements strict
- SSCAS: both target and support elements are strict (classic DB view)

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XYCAS example



- SSCAS: only matching article elements with matching sec subelements
- VSCAS: any matching elements with matching sec subelements
- SVCAS: only matching article elements with any or no matching subelements
- VVCAS: any matching elements with any or no matching sec subelements



CO+S topics

<inex_topic query_type="CO+S">

<title>Tolkien languages "lord of the rings"</title>

<castitle>//article[about(., Tolkien) or about(., "lord of the rings")]

//sec[about(.,Tolkien languages)]</castitle>

<description>

Find information about Tolkien languages from the Lord of the Rings. </description>

<narrative>

The "Lord of the Rings" ... For my own personal interest, I would like to learn more background about Tolkien's artificial languages. Later I may want to add a section on the influence languages to my fan web page. I expect to find relevant information as elements in larger documents that deal with Tolkien or Lord of the Rings, e.g., as sections in documents about Tolkien or the Lord of the Rings.

To be relevant an element should discuss Tolkien's artificial languages and their influence on the Lord of the Rings books or movies. Information on the languages alone without explicit discussion of their impact on the books is not relevant; nor is general information on Tolkien or the Lord of the Rings.

</narrative>

<ontopic_keywords>"High Elvish" ; Quenya ; Sindarin</ontopic_keywords>
<offtopic keywords>inspired, film</offtopic keywords>

</inex_topic>

Combines CO and CAS titles



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INEX AdHoc Tasks

- **Thorough**: find all relevant information (elements)
- Focused: find all relevant information (elements) without any overlap
- Relevant-in-context: document ranking, within each document highlight relevant content
- Best-in-context: best entry point into an article



Thorough vs. Focused



Thorough: should return p, sec, article

Focused: should return only element with most relevant content



Relevant-in-Context Task

- For each topic, return ranked list of documents with non-overlapping relevant elements
- rank 1: document 17
 //article[1]/sec[2]/p[1]
 //article[1]/sec[4]/p[2]
- rank 2: document 12 //article[1]/sec[1]







Assessments: Estimate Relevant Results for each Topic

- General approach: humans assess all elements for relevance
- But: way too much effort (millions of elements)
- Build pool of elements/documents to assess from submitted results

• At INEX: participants assess



INEX (document-based) Pooling

- Build pool of size S=500 documents per topic
- Collect top-1 result from each run, then top-2, ... until S documents found



Build pool of size S=d5c1,7doc,2doc,5doc6loc56doc31



INEX 2004: Two-dimensional relevance

- Exhaustivity (E), which describes the extent to which the document component discusses the topic of request.
- Specificity (S), which describes the extent to which the document component focuses on the topic of request.



4-point scale for exhaustivity

- Not exhaustive (E0): the document component does not discuss the topic of request at all.
- Marginally exhaustive (E1): the document component discusses only few aspects of the topic of request.
- Fairly exhaustive (E2): the document component discusses many aspects of the topic of request.
- **Highly exhaustive (E3):** the document component discusses most or all aspects of the topic of request.



4-point scale for specificity

- Not specific (S0): the topic of request is not a theme of the document component.
- Marginally specific (S1): the topic of request is a minor theme of the document component (i.e. the component focuses on other, non-relevant topic(s), but contains some relevant information).
- Fairly specific (S2): the topic of request is a major theme of the document component (i.e. the component contains mostly relevant content and only some irrelevant content).
- **Highly specific (S3):** the topic of request is the only theme of the document component.

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Scheduling Initiative



INEX 2004 assessment tool

[-at]] About this Issue

[-pn] pp. 3-3

[-au] [-fnm] J.A.N. [-snm] Lee, [-role] Editor-in-Chief [?/fm]

Table of Contents

f our 17th volume is as diverse in topics as any nontheme issue that we have tried to

t many years. However, it still represents r than a broader picture of computing in t [-it] Annals are doing their best to bring t bes require authors in other countries to o n open invitation to authors in other parts w and help us to follow the lead of our par

Assess each element in the pool on 3x3 relevance scale

Computer Society."

[-p] The five major articles in this issue represent several man

time, and we are grateful to the authors for having "stuck with us" while we reviewed, re-reviewed, and reworked their papers. Articles in the field of history do not always present the work of the authors themselves (though we welcome pioneers to give us their own stories, as in the case of the 1935 article by John McPherson in this issue); thus, answering the question "is it accurate?" is not always easy. In fact, we ask our referees to answer the following questions about each menucorint and their reenences determine

whether we accept the manuscript "as is" or whether we ask

[-12]

[—li]

[-p] Are the issues addressed in the paper stated clearly

High effort (up to 1 week per topic)



2006 assessment tool: Highlighting



Ali Baba

0 conversion warning(s)

Ali Baba (Arabic : على بابا) is a fictional character described in the adventure tale of "Ali Baba and the Forty Thieves" which was added to the traditional collection of *The Book of One Thousand and One Nights* by its European transcriber, Antoine Galland , an 18th-century French orientalist who had heard it in oral form from a Maronite story-teller from Aleppo . This story has also been used as a popular pantomime plot.

Story Summary

Ali Baba, a poor woodcutter, happens to see and overhear visiting their treasure store in the forest where he is cutting v the mouth of which is sealed by magic - it opens on the wo

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Highlight relevant text Derive specificity for each element

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the words "Close, Sesame". When the thieves are gone, Ali Baba enters the cave himself, and takes some of the treasure home.

Ali Baba's rich brother Vacing finds out about his brother's unexpected wealth, and Ali Baba tells cave to take more of the treasure, but forgets the magic

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INEX 2010++ Assessment Tool

File: person_130479 Show Pool Hide Keyword Set Keywords Keywords	≈ <u> </u>	Topic % assessed	0%	
version="1.0" encoding="UTF-8"?>				
				_
me>Adrien brody				
erview>				
irth_date>14 April 1973, New York City, New York, USA				
birth_date>				
eight>6' 1"				
iographies>				
 singraphics	dy, Adrien Brody grew up an only d	hild in the Woodhaven sectio	on of Queens, New York, where he	
panied his mother on assignments for the Village Voice. He credits her with making him feel comfortable in I	ront of the camera. He attended th	e American Academy of Drar	matic Arts and LaGuardia High School fo	or the
ming Arts in New York. Despite a strong performance in _The Thin Red Line (1998)_(qv), time_constrain	is forced the director to edit out mu	ch of Adrien's part. In spite o	of his later work with 'Spike Lee' (qv) an	nd
Levinson (I)' (qv), he never became the star many expected he would become until 'Roman Polanski' (qv) of many in The Binnist (2002). (qv), drawing on the heritage and your diplect of his Balich grandmather, as	alled on him to play a celebrated Je	wish planist in Nazi-occupied	Warsaw. He pulled off a brilliant	
mance inme Planist (2002)(qV), drawing on the inercage and rare dialect or his Polish grandmother, as rv as a child during the 1956 uprising against, the Soviet Union.	well as his Tather, who lost ramily n	tempers during the Holocaust	t, and his mother, who ned Communist	
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Metrics: General principles

- Quantization Q: Map (E,S) value to [0,1]
- Recall-based evaluation for each topic
- For a run: Average metrics value for each topic



Quantizations (INEX 2004)

- **Strict**: map to 1 only for (3,3) results (INEX 2002)
- Generalized (INEX 2002): graded relevance
- Specificity-oriented generalized: more focus on specificity component
- Specificity-oriented: map to 1 only for (3,*) results
- Exhaustivity-oriented: map to 1 only for (*,3) results



INEX 2002 Thorough metrics: Precall

Consider recall base: set of all elements with Q>0

$$P(rel \mid retr)(x) \coloneqq \frac{x \cdot n}{x \cdot n + esl_{x \cdot n}}$$

x: recall point 0,0.01,...,1 (point in the run where fraction x of relevant elements are found)*n*: number of relevant elements

esl: expected search length (number of nonrelevant elements at recall x, more difficult when ranking includes ties)



INEX 2006 Thorough metrics: xCG

- Consider ideal run: elements from recall base in descending order of their Q value (here: fraction of relevant characters in element)
- Compute extended cumulated gain of run (xCG) and ideal run (xCI) at rank i $xCG[i] = \sum_{i=1}^{n} xG[j]$ xG[i] = Q(result(i))
- Compute relative effort (rank) to achieve target gain r: $ep[r] = \frac{i_{ideal}}{i_{run}}$ • Use normalized xCG:

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 $nxCG[i] = \frac{xCG[i]}{xCI[i]}$

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INEX 2006 metrics



Not yet considered: Overlap of results



- Results beyond 1 do not contribute new content, so are useless for user
- But: included in recall base, must be returned for high Precall or ep[r] value

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INEX 2006 Focused metrics

- Compute ideal overlap-free recall base
 - Select elements with highest Q
 - Break ties by choosing element toward the top of the XML tree





From Elements to Passages

Major insight around 2007:

Elements as results are too restrictive since

- boundaries are arbitrary
- Relevant content (aka highlighted text) independent of element boundaries

Natural consequence: retrieve text passages instead of elements (XML structure only hints!)



INEX 2007 Focused Measures

- Based on retrieved relevant rext
- For a result p size(p): number of characters in p rsize(p): number of unseen relevant characters in p
- Rank-based measures precision & recall

$$P[r] = \frac{\sum_{i=1}^{r} rsize(p_i)}{\sum_{i=1}^{r} size(p)} \qquad \qquad R[r] = \frac{\sum_{i=1}^{r} rsize(p_i)}{Trel(q)}$$

where Trel(q)=number of relevant characters for q



INEX 2007: interpolated precision





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Reminder: Relevant-in-Context Task

- For each topic, return ranked list of documents with non-overlapping relevant elements
- rank 1: document 17
 //article[1]/sec[2]/p[1]
 //article[1]/sec[4]/p[2]
- Rank 2: document 12 //article[1]/sec[1]







Two-step metrics for relevant in context

• Per-document score S(d): F-measure

$$P(d) = \frac{\sum\limits_{p \in \mathcal{P}_d} rsize(p)}{\sum\limits_{p \in \mathcal{P}_d} size(p)} \quad R(d) = \frac{\sum\limits_{p \in \mathcal{P}_d} rsize(p)}{Trel(d)} \quad F(d) = \frac{2 \cdot P(d) \cdot R(d)}{P(d) + R(d)}$$

Per-topic score: generalized precision &

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$$gP[r] = \frac{\sum_{i=1}^{r} S(d_i)}{r} \qquad gR[r] = \frac{\sum_{i=1}^{r} IsRel(d_i)}{Nrel}$$
$$\frac{|\mathcal{L}|}{AgP} = \frac{\sum_{r=1}^{|\mathcal{L}|} IsRel(d_r) \cdot gP[r]}{Nrel}$$

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Effect of Pool Size (INEX 09)

How good is ranking with a smaller pool?

 Consider Kendall's tau of run ranking to original ranking (with poolsize=500 for assessments)



General agreement: tau=0.9 is good enough

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Solutions for Text Retrieval: MTC

Find Minimal Test Collection (to assess)

- For document retrieval
- For rank-based metrics (e.g., precision@10, AvgPrec)
- **Impact** of document d on run's AvgPrec:
 - Depends only on rank r(d) of d in the run
 - Can be precomputed: contributes 1/R to precision@R for each rank R≥r
 - Select documents to assess based on best impact for run1, best impact for run2, etc.

Stop when

best run found (threshold)

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- best run found with high probability (under assumptions)

[Carterette et al, SIGIR 2006]

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Impact of Assessing a Document

Problem with iP[0.01] (a **recall-based** metric):

 value may reduce with more assessments (even docs not included in a run!)



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Impact of Assessing a Document

Problem with iP[0.01] (a recall-based metric):

- value may reduce with more assessments (even docs not included in a run!)
- Problem with passage-level assessment:
 - Which part of the document will be relevant?

Solution:

- Consider every retrieved fragment f plus whole doc
- impact(f)= absolute change in iP[0.01] when f relev.
- impact(doc)= max impact of any fragment
- Assess document with highest impact next

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Rank-Based Relevance Probability



- Approximate P[rel|rank] through exponential function
- Weight impact(f) by minimal rank of f in any run

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Experiment (INEX09)



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Outline

- Introduction
- The INEX Initiative
- INEX Adhoc Evaluation
- Other INEX tracks
- Summary



Relevance Feedback Track

- Goal: use user's feedback to some results for improving further results of the same query
- Evaluation non-trivial: relevance of some results is known
- Traditional approaches:
 - Freeze known results at top of result list
 - Remove known results from result pool
 Both used for the INEX 2006 RF track



RF track 2010-2012

- Interleaved retrieval & feedback
- Variant of freezing with many rounds
- Track provided interface to feedback module
- Submit implementation, not results

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• Evaluate resulting list of results with standard tools



Natural Language Query Track

Goal: Create structured NEXI query from description in natural language

Find sections about compression in articles about information retrieval.

/article[about(.,IR)]/sec[about(.,compression)]

Evaluation: Process resulting queries with search engine and compare result quality to CAS query in the topic
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Find link targets

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Book Search

- Goals: Investigate
 - book-specific relevance ranking strategies
 - user interface issues

```
<document>
<page pageNumber="1" label="PT CHAPTER" [coords] key="0" id="0">
<region regionType="Text" [coords] key="0" id="0">
<section label="SEC BODY" key="408" id="0">
<line [coords] key="0" id="0">
<word [coords] key="0" id="0" val="Moby"/>
<word [coords] key="1" id="1" val="Dick"/>
</line>
<line [...]><word [...] val="Melville"/>[...]</line>[...]
</section> [...]
</region> [...]
</page> [...]
</document>
```

Social Book Search Task

- Goal: study relative value of authoritative metadata and user-generated content
- Collection:
 - meta data for 2.8 million books from Amazon
 - tags, ratings, reviews from LibraryThing (LT)
- Task: Recommend books to read based on request in LT forum
- Additional input: LT profile of requesting user
- Assessments:
 - books recommended by others
 - pooling + Mechanical Turk

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Multimedia Track (now at CLEF)

- 2 Collections:
 - Wikipedia XML including image files (60GB)
 - Image Metadata (from Wikipedia)
- - 120-dimensional feature vector (based on natural images statistics)



Multimedia Track

Task 1: Retrieve document fragments for an info need with a multimedia character

//section[about(.//figure//image,concept:maps)]

(may include example images)

• Task 2: Pure image retrieval (from the metadata collection)



Tweet Contextualization Track

Example: @alfred #AlfredNobelPrize ceremony this evening in Scottsdale, AZ

1 The Alfred Noble Prize is an award presented by the combined engineering societies of the United States, given each year to a person not over thirty-five for a paper published in one of the journals of the participating societies.

2 The prize was established in 1929 in honor of Alfred Noble, Past President of the American Society of Civil Engineers.

3 It has no connection to the Nobel Prize, although the two are often confused due to their similar spellings.

Snippet Retrieval Track

• **Goal**: generate informative snippets for search results

- Two-stage assessment:
 - Use snippet to predict relevance of result
 - Use result document to determine relevance



Linked Data Track

- <jeopardy_clue>Niagara Falls has its source of origin
 from this lake. </jeopardy_clue>
- <keyword_title>Niagara Falls source lake</keyword_title> <sparql_ft>
 - select ?q Where {
 - <http://dbpedia.org/resource/Niagara_Falls>
 - <http://dbpedia.org/property/watercourse> ?o .
 - ?o <http://dbpedia.org/ontology/origin> ?q .
 - filter FTContains(?o, "river water course niagara") .
 filter FTContains(?q, "lake origin of")}
- </sparql_ft>

Summary – Lessons Learned

Two main insights of the AdHoc track:

- Advantage (if any) of structured queries over content-only queries depends on collection & information need
- Focused retrieval is often not better than document (aka article-level) retrieval

Good understanding how to evaluate adhoc search tasks on document-centric XML

Retrieval of data-centric documents (or relational tables) largely unexplored

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